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2TO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Indeathe Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/462,682 Application Number TRANSMITTAL Filing Date April 28, 2000 FORM FitzGerald, David **First Named Inventor** (to be used for all correspondence after initial filing) 1645 **Group Art Unit** Portner, Virginia **Examiner Name** 015280-310100US Total Number of Pages in This Submission Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form Group (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Return Postcard Express Abandonment Request Request for Refund 7 References Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Kenneth A. Weber Reg. No. 31,677 Individual name and all Signature May 20, 2002 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 20, 2002 Typed or printed name Joy M. Marshall m. marshall May 20, 2002 Signature

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		Complete if Known							
* FEE TRANSMITTAL	Ar	Application Number 09/462,682							
FEE TRANSMITTAL for FY 2001			Filing Date  April 28, 2000  First Named Inventor  Examiner Name  Portner, Virginia  Group Art Unit  1645  Attorney Docket No.  O15280-310100US  FEE CALCULATION (continued)						
	Fi	First Named Inventor FitzGerald, David					<u> </u>		
Atent fees are subject to annual revision.	F	xamine	r Name		er, Virginia	2			
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ANTENT & THE			Group Art Unit 1645						
TOTAL AMOUNT OF PAYMENT (\$) 580	At	Attorney Docket No. 015280-310100US							
METHOD OF PAYMENT					FEE CA	LCULATION (continued)	100/2		
The Commissioner is hereby authorized to charge	e <b>3</b> .	ADDIT	IONAL	FEES			750		
1. Indicated fees and credit any over payments to:			Entity	Small	Entity	•			
Deposit	Fee		ee .	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Account 20-1430	105		<b>\$)</b> 30	205	65	Surcharge - late filing fee or oath			
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Deposit	<del></del>					or cover sheet.			
Account Townsend and Townsend and Crew LLP	139		30	139 147	130 2,520	Non-English specification  For filing a request for reexamination	-		
Name	147		2,520 920*	112	2,520 920°	Requesting publication of SiR prior to			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		•				Examiner action			
Applicant claims small entity status.	113	3 1	,840*	113	1,840°	Requesting publication of SIR after			
See 37 CFR 1.27 Payment Enclosed:		e 4	110	215	55	Examiner action  Extension for reply within first month			
	115	-	100	216	200	Extension for reply within second	400		
☐ Check ☐ Credit card ☐ Money ☐ Other						month			
Order			920	217	460	Extension for reply within third month			
FEE CALCULATION	118	8 1	1,440	218	720	Extension for reply within fourth month			
, BASIC FILING FEE	120	:8	1,960	228	980	Extension for reply within fifth month			
arge Entity Small Entity se Fee Fee Fee Description	119	9 :	320	219	160	Notice of Appeal			
ode (\$) Code (\$) Fee F	aid 120	:0	320	220	160	Filing a brief in support of an appeal	L		
01 740 201 370 Utility filing fee	12	11 2	280	221	140	Request for oral hearing			
06 330 206 165 Design filing fee	138	8	1,510	138	1,510	Petition to institute a public use proceeding			
07 510 207 255 Plant filing fee	144	ю .	110	240	55	Petition to revive – unavoidable			
08 740 208 370 Reissue filing fee	14		1,280	241	640	Petition to revive - unintentional			
	14:	2	1,280	242	640	Utility issue fee (or reissue)	<u> </u>		
SUBTOTAL (1) (\$)	14:	3 4	460	243	230	Design issue fee			
EXTRA CLAIM FEES	14		620	244	310	Plant issue fee	·		
Extra Fee from Fe		22	130	122	130	Petitions to the Commissioner Petitions related to provisional	<u> </u>		
tal Claims	12	23	50	123	50	applications			
ependent X = X	12	26	180	126	180	Submission of Information Disclosure Stmt	180		
itible X =	58	31	40	581	40	Recording each patent assignment per property (times number of properties)	·		
pendent  arge Entity Small Entity	14	16	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
tee Fee Fee Fee Fee Description  Code (\$) Code (\$)  Code (\$)  Code (\$)  Code (\$)	14	19	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
02 84 202 42 Independent claims in excess	111	79	740	279	370	Request for Continued Examination (RCE)			
280 204 140 Multiple dependent claim, if not paid  ** Reissue independent claims over			900	169	900	Request for expedited examination			
09 84 209 42 original patent	Over					of a design application			
** Reissue claims in excess of		Other fee (specify)							
over original patent  The Commissioner is authorized to charge any additional the above noted Deposit Account.									
SUBTOTAL (2) (\$)	th	ne abov	e note	d Depo	sit Acco	unt. 			
<u> </u>	<u> </u>	'Reduce	ed by Ba	asic Filir	ng Fee P	aid SUBTOTAL (3) (\$)580			

SUBMITTED BY				Co	mplete (if applicable)
Name (Print/Type)	Kenneth A. Weber	Registration No. (Attorney/Agent)	31,677	Telephone	415-576-0200
Signature	_	all	<u> </u>	Date	May 20, 2002

FILING RECEIPT

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DRAWINGS TOT CLAIMS

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JOHN STORELLA

TOWNSEND & TOWNSE ID & CREW

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SAN FRANCISCO, CA 94 11-3834

Date Mailed: 07/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing is eceipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing arts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

DAVID J. FITZGERALD, ROCKVILLE, MD; RANDAL. J. MRSNY, REDWOOD CITY, CA;

Continuing Data as Claimed by Applicant

THIS APF ICATION IS A 371 OF PCT/US98/14336 07/10/1998 WHICH C AIMS BENEFIT OF 60/056,924 07/11/1997

Foreign Applications

If Required, Foreign Filing License Granted 07/06/2000

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**Title** 

PSEUDO! 'ONAS EXOTOXIN A-LIKE CHIMERIC IMMUNOGENS FOR ELICITING A SECRETORY IGA-MED! TED IMMUNE RESPONSE

**Preliminary Class** 

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